

Client Investment Profile – Individual

- Initial entry of information
 Change of information
 Information update

1. INFORMATION ABOUT THE INDIVIDUAL

Title (e.g. Mr, Mrs, Ms, etc)					
First name (given name)					
Middle name (patronymic)					
Last name (surname)					
Identity document		Series		Number	

2. ORIGIN AND DISTINATION OF FUNDS

Expected origin of funds (i.e. banks, brokers, third parties and countries of their origin), please specify the particular sources	
Expected destination of withdrawals (i.e. banks, brokers, third parties and countries of their origin), please specify the particular recipients	

3. INVESTMENT GOALS

Considered Financial Instruments (Products)			
<i>Less complex Products</i>		<i>More complex Products</i>	
<input type="checkbox"/>	Ordinary shares <input type="checkbox"/> Most liquid <input type="checkbox"/> Less liquid	<input type="checkbox"/>	Units in alternative investment funds (AIFs)
<input type="checkbox"/>	Preferred shares <input type="checkbox"/> Most liquid <input type="checkbox"/> Less liquid	<input type="checkbox"/>	Exchange Traded Notes (ETNs)
<input type="checkbox"/>	Corporate bonds <input type="checkbox"/> Most liquid <input type="checkbox"/> Less liquid	<input type="checkbox"/>	Futures
<input type="checkbox"/>	Government or municipal bonds	<input type="checkbox"/>	Purchasing exchange traded options
<input type="checkbox"/>	Medium-term bank notes	<input type="checkbox"/>	Selling (writing out) exchange traded options
<input type="checkbox"/>	Units in regulated collective investment undertakings (UCITS)	<input type="checkbox"/>	Structured financial instruments (Structured Notes)
<input type="checkbox"/>	Other less complex Product, please specify:	<input type="checkbox"/>	Lower Risk Reversed REPO transactions with shares
<input type="checkbox"/>	Other less complex Product, please specify:	<input type="checkbox"/>	Higher Risk Reversed REPO transactions with shares
<input type="checkbox"/>	Other less complex Product, please specify:	<input type="checkbox"/>	Lower Risk REPO transactions (<i>only for professional clients</i>)
		<input type="checkbox"/>	Higher Risk REPO transactions (<i>only for professional clients</i>)
		<input type="checkbox"/>	Other more complex Product, please specify:
		<input type="checkbox"/>	Other more complex Product, please specify:
Main objectives and risk tolerance			
<input type="checkbox"/>	Asset protection with minimal risk of loss (saving for medical treatment, education, events, real estate purchase, etc.)	<input type="checkbox"/>	Aggressive asset growth with high loss acceptable (investing spare capital with the loss of all amount invested not sensitive)
<input type="checkbox"/>	Moderate asset growth with moderate loss acceptable (increase of capital with potential loss covered from regular income sources, such as salary and interest)	<input type="checkbox"/>	Venture investments, speculation with leverage (loss significantly exceeding the invested amount acceptable and bearable)

Signature

Planned term of investment (investment horizon)			
<input type="checkbox"/>	Short-term (up to 1 year)	<input type="checkbox"/>	Medium-term (3-5 years)
<input type="checkbox"/>	Medium-term (1-3 years)	<input type="checkbox"/>	Long-term (> 5 years)
Ability to absorb loses on investment (assets owned)			
<input type="checkbox"/>	Disposable after tax annual income (employment salary, contractual remuneration and bonuses, dividends, interest, royalties, etc.) US\$	<input type="checkbox"/>	Less liquid assets (medium-term deposits, vehicles, loans, etc.) US\$
<input type="checkbox"/>	Liquid assets (current accounts, short-term deposits, marketable securities, etc.) US\$	<input type="checkbox"/>	Other relevant assets, please specify: _____ US\$

Intended financial markets (geographic areas)			
<i>Developed and EU markets</i>		<i>Emerging markets (other than EU)</i>	
<input type="checkbox"/>	Europe (EU)	<input type="checkbox"/>	Russia
<input type="checkbox"/>	Europe (other than EU)	<input type="checkbox"/>	Ukraine, Kazakhstan and other CIS states
<input type="checkbox"/>	USA and Canada	<input type="checkbox"/>	Latin America
<input type="checkbox"/>	Japan	<input type="checkbox"/>	Middle East and Asia
<input type="checkbox"/>	Other developed markets _____	<input type="checkbox"/>	Other emerging markets _____

4. EXPECTED ACCOUNT ACTIVITY

Expected monthly volume of transactions (in US\$)	
Expected monthly volume of Assets deposits and withdrawals (in US\$)	
Expected monthly frequency of transactions	
Expected investment amount (in US\$)	From To

5. CLIENT'S EXPERIENCE IN DEALING WITH FINANCIAL INSTRUMENTS

What types of financial instruments (including more complex Products) have you used previously?	
Financial instrument	Experience in years

Average annual frequency of past transactions in significant size for the previous four quarters									
<input type="checkbox"/>	1-3	<input type="checkbox"/>	4-10	<input type="checkbox"/>	11-50	<input type="checkbox"/>	50-99	<input type="checkbox"/>	100+
The size of the financial instrument portfolio as of the date of this document (in US\$)									

Signature

Password (/digit code) for placing instructions and requesting information by fax, e-mail or phone

I hereby confirm the above information is complete, true and accurate to the best of my knowledge and belief

Name

Date



Signature

Signature